

Amott 4/a  
S. Ellis  
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14 IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: Rosalind Herman et al.

Examiner: Rachel L. Porter

Serial No. 09/873,864

Art Unit: 3626

Filing Date: June 4, 2001

Title: FOUNDATION FUNDS GENERATION SYSTEM AND METHOD

Attorney Docket No.: 60697-015 (NFRK-001)

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I hereby certify that this correspondence is being facsimile transmitted to the Patent and Trademark Office (Fax No.: (703) 872-9306 on the date shown below.

March 30, 2004

Date

By: 

David M. Mello

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Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

**RESPONSE TO OFFICE ACTION**

Dear Sir:

**Introductory Comments**

In response to the Office Action mailed December 30, 2003, please enter the following amendments to the claims and specification and consider the remarks provided herein. No new matter is presented by the amendments herein.